



NetApp

### U.S. Export Compliance Questionnaire

NetApp, Inc., as a developer and user of various forms of technology, is legally required to comply with U.S. export control laws including the Export Administration Regulations (EAR). Under these regulations, transfers of certain U.S.-origin technology to employees who do not meet the definition of a U.S. citizen may require export licenses or other authorizations from the U.S. government. In support of our compliance efforts in this area, we are requesting information and your acknowledgement thereto as provided below. Your answers to these questions will only be used to determine whether any such authorization will be required in connection with your employment with NetApp, and solely for such limited purpose.

Your Full Name: \_\_\_\_\_

Job Title: \_\_\_\_\_

Hiring Manager Name: \_\_\_\_\_

Please respond to the following sections, as applicable:

- A. Check this box if you are a United States citizen, a United States permanent resident ("green card holder,"), a political refugee, or a political asylum, holder under the U.S. Immigration and Naturalization Act. If you have checked this box, please continue to Section C.

- B. All others please provide the country or countries of which you are a national, citizen, or permanent resident and continue to Section C.

Country of Birth: \_\_\_\_\_

Country (ies) and approval dates of citizenship: Country: \_\_\_\_\_ Date: \_\_\_\_\_

Country: \_\_\_\_\_ Date: \_\_\_\_\_

Country: \_\_\_\_\_ Date: \_\_\_\_\_

Country (ies) and expiration date of permanent Country: \_\_\_\_\_ Date: \_\_\_\_\_

residency (if applicable): Country: \_\_\_\_\_ Date: \_\_\_\_\_

Country: \_\_\_\_\_ Date: \_\_\_\_\_

- C. By signing below I certify that the information I have provided herein is true and correct and indicates my understanding and agreement with the terms specified in the Letter of Assurance below.

#### Letter of Assurance

I hereby certify that I will not knowingly (a) violate the U.S. laws pertaining to export control, nor (b) export, directly or indirectly, or release any United States origin controlled technology or software, or any direct product thereof, to any restricted country below in the absence of a valid export license or authorization where required by applicable U.S. law:

Albania, Armenia, Azerbaijan, Belarus, Burma, Cambodia, China (PRC), Cuba, Georgia, Iraq, Iran, Kazakhstan, Kyrgyzstan, Laos, Libya, Macau, Moldova, Mongolia, North Korea, Russia, Sudan, Syria, Tajikistan, Turkmenistan, Ukraine, Uzbekistan, or Vietnam.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

Any questions concerning this form should be sent to [gtc@netapp.com](mailto:gtc@netapp.com)

HR – Please send the original form to Global Trade Compliance